

PCT

WORLD INTELLECTUAL PROPERTY ORGANIZATION  
International Bureau

## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification <sup>5</sup> : A61G 17/36, A61F 7/12	A1	(11) International Publication Number: WO 94/26228
		(43) International Publication Date: 24 November 1994 (24.11.94)

(21) International Application Number: PCT/US94/05168

(22) International Filing Date: 10 May 1994 (10.05.94)

(30) Priority Data:  
08/059,681 10 May 1993 (10.05.93) US

(60) Parent Application or Grant

(63) Related by Continuation

US

Filed on

08/059,681 (CIP)  
10 May 1993 (10.05.93)(71) Applicant (for all designated States except US): THAPLIYAL  
AND EGGERS PARTNERS [US/US]; 1111 Doyle Place,  
Mountain View, CA 94040 (US).

(72) Inventors; and

(75) Inventors/Applicants (for US only): EGGERS, Philip, E.  
[US/US]; 5366 Reserve Drive, Dublin, OH 43017 (US).  
THAPLIYAL, Hira, V. [US/US]; 1111 Doyle Place, Moun-  
tain View, CA 94040 (US).(74) Agent: HESLIN, James, M.; Townsend and Townsend Kourie  
and Crew, One Market Plaza, 20th floor, Steuart Street  
Tower, San Francisco, CA 94105 (US).(81) Designated States: AT, AU, BB, BG, BR, BY, CA, CH, CN,  
CZ, DE, DK, ES, FI, GB, HU, JP, KG, KP, KR, KZ, LR,  
LU, LV, MD, MG, MN, MW, NL, NO, NZ, PL, PT, RO,  
RU, SD, SE, SI, SK, TJ, TT, UA, US, UZ, VN, European  
patent (AT, BE, CH, DE, DK, ES, FR, GB, GR, IE, IT, LU,  
MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM,  
GA, GN, ML, MR, NE, SN, TD, TG).

## Published

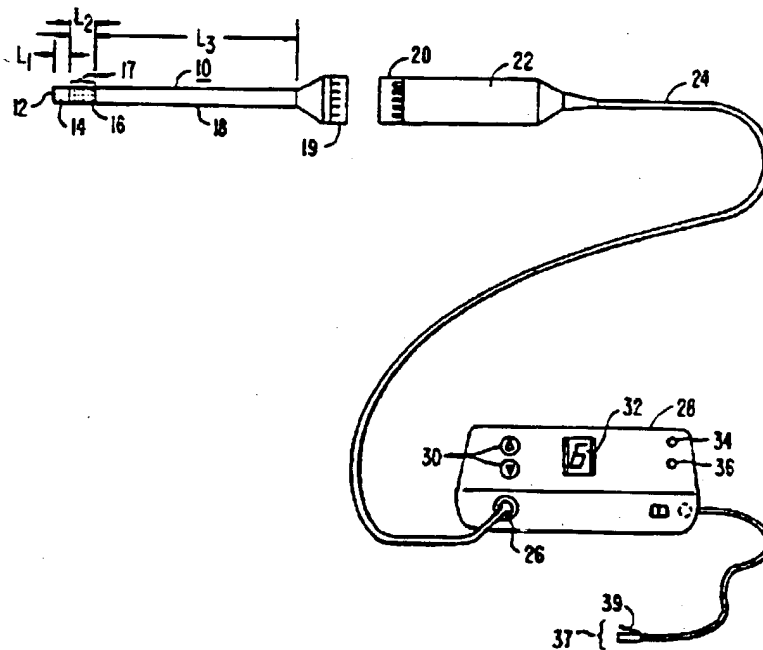
With international search report.

Before the expiration of the time limit for amending the  
claims and to be republished in the event of the receipt of  
amendments.

(54) Title: METHODS AND APPARATUS FOR SURGICAL CUTTING

## (57) Abstract

An electrosurgical probe comprises a shaft having an electrode array (12) at its distal end and a connector at its proximal end. The array (12) includes a plurality of isolated electrode terminals, and an electrosurgical power supply (28) is provided with a multiplicity of independently limited or controlled current sources and a connector. The electrosurgical probe and the power supply may be connected through their respective connectors so that the independent current sources are connected to individual electrode terminals. By applying very high frequency electrical energy to the electrode array, target tissue may be cut or ablated while heat dissipation through low impedance paths, such as blood and normal saline, will be minimized.



**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AT	Austria	GB	United Kingdom	MR	Mauritania
AU	Australia	GE	Georgia	MW	Malawi
BB	Barbados	GN	Guinea	NE	Niger
BE	Belgium	GR	Greece	NL	Netherlands
BF	Burkina Faso	HU	Hungary	NO	Norway
BG	Bulgaria	IE	Ireland	NZ	New Zealand
BJ	Benin	IT	Italy	PL	Poland
BR	Brazil	JP	Japan	PT	Portugal
BY	Belarus	KE	Kenya	RO	Romania
CA	Canada	KG	Kyrgyzstan	RU	Russian Federation
CF	Central African Republic	KP	Democratic People's Republic of Korea	SD	Sudan
CG	Congo	KR	Republic of Korea	SE	Sweden
CH	Switzerland	KZ	Kazakhstan	SI	Slovenia
CI	Côte d'Ivoire	LI	Liechtenstein	SK	Slovakia
CM	Cameroon	LK	Sri Lanka	SN	Senegal
CN	China	LU	Luxembourg	TD	Chad
CS	Czechoslovakia	LV	Latvia	TG	Togo
CZ	Czech Republic	MC	Monaco	TJ	Tajikistan
DE	Germany	MD	Republic of Moldova	TT	Trinidad and Tobago
DK	Denmark	MG	Madagascar	UA	Ukraine
ES	Spain	ML	Mali	US	United States of America
FI	Finland	MN	Mongolia	UZ	Uzbekistan
FR	France			VN	Viet Nam
GA	Gabon				

## METHODS AND APPARATUS FOR SURGICAL CUTTING

5

### BACKGROUND OF THE INVENTION

#### 1. Field of the Invention

10 The present invention relates generally to the field of electrosurgery and, more particularly, to surgical devices and methods which employ very high frequency electrodes comprising an array of individual, isolated electrode terminals.

15 The field of electrosurgery includes a number of loosely related surgical techniques which have in common the application of electrical energy to modify the structure or integrity of patient tissue. Electrosurgical procedures usually operate through the application of very high frequency currents to cut or ablate tissue structures, where the operation can be monopolar or bipolar. Monopolar techniques rely on external grounding of the patient, where the surgical device defines only a single electrode pole. Bipolar devices comprise both electrodes for the application of current between their surfaces.

25 Electrosurgical procedures and techniques are particularly advantageous since they generally reduce patient bleeding and trauma associated with cutting operations. Additionally, electrosurgical ablation procedures, where tissue surfaces and volume may be reshaped, cannot be duplicated through other treatment modalities.

30 The use of electrosurgical procedures in electrically conductive environments, however, can be problematic. For example, many arthroscopic procedures require flushing of the region to be treated with isotonic saline (also referred to as normal saline), both to maintain an isotonic environment and to keep the field of viewing clear. The presence of saline, which is a highly conductive electrolyte, can cause shorting of the electrosurgical

35

WO 94/26228

PCT/US94/05168

2

electrode in both monopolar and bipolar modes. Such shorting causes unnecessary heating in the treatment environment and can further cause non-specific tissue destruction.

Present electrosurgical techniques used for tissue ablation also suffer from an inability to control the depth of necrosis in the tissue being treated. Most electrosurgical devices rely on creation of an electric arc between the treating electrode and the tissue being cut or ablated to cause the desired localized heating. Such arcs, however, often create very high temperatures causing a depth of necrosis greater than 500  $\mu\text{m}$ , frequently greater than 800  $\mu\text{m}$ , and sometimes as great as 1700  $\mu\text{m}$ . The inability to control such depth of necrosis is a significant disadvantage in using electrosurgical techniques for tissue ablation, particularly in arthroscopic procedures for ablating and/or reshaping fibrocartilage, articular cartilage, meniscal tissue, and the like.

In an effort to overcome at least some of these limitations of electrosurgery, laser apparatus have been developed for use in arthroscopic and other procedures. Lasers do not suffer from electrical shorting in conductive environments, and certain types of lasers allow for very controlled cutting with limited depth of necrosis. Despite these advantages, laser devices suffer from their own set of deficiencies. In the first place, laser equipment can be very expensive because of the costs associated with the laser light sources. Moreover, those lasers which permit acceptable depths of necrosis (such as excimer lasers, erbium:YAG lasers, and the like) provide a very low volumetric ablation rate, which is a particular disadvantage in cutting and ablation of fibrocartilage, articular cartilage, and meniscal tissue. The holmium:YAG and Nd:YAG lasers provide much higher volumetric ablation rates, but are much less able to control depth of necrosis than are the slower laser devices. The  $\text{CO}_2$  lasers provide high rate of ablation and low depth of tissue necrosis, but cannot operate in a liquid-filled cavity.

For these reasons, it would be desirable to provide improved apparatus and methods for efficiently cutting and

WO 94/26228

PCT/US94/05168

3

ablating tissue, particularly fibrocartilage, articular cartilage, meniscal tissue, and the like in arthroscopic and other procedures. Such apparatus and methods should be able to selectively cut and ablate tissue and other body structures in electrically conductive environments, particularly regions which are filled with blood, irrigated with saline, or the like. Such apparatus and methods should be able to perform cutting and ablation of tissues, particularly fibrocartilage, articular cartilage, meniscal tissue, and the like, while limiting the depth of necrosis and tissue adjacent to the treatment site. Such apparatus and methods should be amenable to precise control over the energy flux levels applied to the treatment region, and should be able to provide energy densities sufficient to provide rapid cutting and ablation. The devices should be adaptable to a wide variety of purposes, particularly including both small and large electrode surfaces, and rigid and flexible structures which can be used in open surgery, arthroscopic surgery, and other minimally invasive surgical techniques.

## 2. Description of the Background Art

Devices incorporating radio frequency electrodes for use in electrosurgical and electrocautery techniques are described in Rand et al. (1985) *J. Arthro. Surg.* 1:242-246 and U.S. Patent Nos. 5,281,216; 4,943,290; 4,936,301; 4,593,691; 4,228,800; and 4,202,337. U.S. Patent 5,281,216 describes a bipolar device having an active electrode coated with a high impedance material where the differential impedance between the active and return electrodes is optimized to provide a desired cutting effect. Vascular catheters and devices incorporating radio frequency electrodes to assist in penetrating atheroma and plaque are described in U.S. Patent Nos. 5,281,218; 5,125,928; 5,078,717; 4,998,933; and 4,976,711, and PCT publications WO 93/20747 and WO 90/07303, the latter of which describes a catheter having four isolated electrode surfaces at its distal end. Electrosurgical power supplies including power controls and/or current limiting systems are described in U.S. Patent No. 5,267,997 and PCT

WO 94/26228

PCT/US94/05168

4

publication WO 93/20747. Surgical lasers for cutting and ablation in arthroscopic and other procedures are described in Buchelt et al. (1991) Surgery and Medicine II:271-279; and U.S. Patent Nos. 5,147,354; 5,151,098; 5,037,421; 4,968,314; 5 4,785,806; 4,737,678; 4,736,743; and 4,240,441.

#### SUMMARY OF THE INVENTION

The present invention provides methods and apparatus for selectively applying electrical energy to structures within a patient's body. The methods and apparatus are particularly useful for performing electrosurgical interventions, such as ablation and cutting of body structures, through the controlled application of high frequency electrical voltages and currents.

Apparatus according to the present invention comprise electrosurgical probes including a shaft having a proximal end, a distal end, an electrode array disposed near the distal end of the shaft, and a connector disposed near the proximal end of the shaft. The shaft will be of a type suitable for use in open and/or minimally invasive surgical procedures, such as arthroscopic, laparoscopic, thoracoscopic, and other endoscopic procedures. The shaft may be rigid, flexible, or include both rigid and flexible portions, and will be generally suitable for manipulation by the treating physician from the proximal end. A common electrode may optionally be provided on the shaft, typically being mounted over the exterior of the shaft and spaced proximally from the electrode array, and preferably being covered with a perforate, electrically non-conductive shield to protect against accidental tissue contact. The electrode array includes a plurality of electrically isolated electrode terminals disposed over a contact surface, which may be a planar or non-planar surface and which may be located at the distal tip or over a lateral surface of the shaft, or over both the tip and lateral surface(s). Such electrode arrays are particularly useful for performing electrosurgical ablation, as described in more detail below. In addition to planar and other surfaces, the electrode array may be arranged

WO 94/26228

PCT/US94/05168

5

in a linear pattern, which is particularly useful as a blade for electrosurgical cutting procedures. The electrode array will include at least two and preferably more electrode terminals, and may further comprise a temperature sensor. The  
5 connector permits electrical coupling of the electrode terminals, and optionally temperature sensor, to a high frequency power supply and optionally temperature monitor and/or controller for operation of the probe.

The use of such electrode arrays in electrosurgical  
10 procedures is particularly advantageous as it has been found to limit the depth of tissue necrosis without substantially reducing power delivery and ablation rates. Heretofore, increased power delivery with electrosurgical devices has generally been achieved by increasing monolithic electrode  
15 area. The resulting large electrode surfaces, however, cause tissue necrosis to a depth which varies proportionally with the width and area of the electrode surface. The present invention provides a more controlled necrosis depth by utilizing a plurality of isolated electrode terminals, where  
20 the terminals are preferably laterally spaced-apart by a distance from one-tenth to one terminal diameter, with spacing between larger electrode terminals generally being at the lower end of the range. Such spacing provides adequate power delivery and ablation rates without excessive tissue necrosis,  
25 which is usually limited to a depth less than one electrode terminal diameter.

Apparatus according to the present invention further include an electrosurgical high frequency power supply comprising a multiplicity of independent current sources and a  
30 connector which mates with a corresponding connector on the electrosurgical probe. The current sources preferably comprise passive or active current limiting circuit structures in parallel with each other and in series with a common voltage source within the power supply. Passive current  
35 limiting circuit structures may include inductor(s), capacitor(s), and/or resistor(s) in known circuit configurations. In all cases, the passive current limiting structures will be designed to limit current flow when the

WO 94/26228

PCT/US94/05168

6

associated electrode terminal is in contact with a low resistance return path back to the common or return electrode.

Preferred passive current limiting structures comprise

(1) inductors in series with each electrode terminal and

5 (2) capacitors in series and inductors in parallel with each electrode terminal, as described in detail hereinafter.

Active current limiting circuit structures will usually comprise a switching element to turn off current flow whenever the associated electrode terminal contacts a low (or  
10 in some instances high) impedance return path back to the common or return electrode. The switching element could be mechanical, e.g., a relay, but preferably will be solid state, e.g., a silicon controlled rectifier (SCR) or silicon controlled switch (SCS). The switch will be turned on and off  
15 by a controller which can detect the low resistance path (typically by sensing current flow above a threshold value). The controller can be implemented in hardware or software, typically being part of the power supply.

The high frequency electrosurgical power supply  
20 optionally includes a temperature controller which is connected to the temperature sensor on the electrosurgical probe and which adjusts the output voltage of the voltage source in response to a temperature set point and the measured temperature value received from the probe. In this way, the  
25 power output and temperature may be controlled while the individual current sources limit or block the power output from corresponding individual electrode terminals. Such limitation of individual electrode terminal power outputs is critical to limiting energy loss from the electrode array as  
30 described in more detail below.

The present invention still further provides an electrosurgical system including both the electrosurgical probe and electrosurgical power supply as described above.

According to the method of the present invention, an  
35 electrosurgical probe is positioned adjacent to a body structure so that an electrode array is brought into at least partial contact with the structure. The electrode array includes a plurality of isolated electrodes, and a high



WO 94/26228

PCT/US94/05168

7

frequency voltage is applied between the electrode array and the patient's body. The voltage causes current flow between each electrode terminal and the body structure which is contacted by the electrode terminal, where current flow

5 through all low electrical impedance paths is preferably but not necessarily limited. It will be appreciated that such low impedance paths generally occur when an electrode terminal does not contact the body structure, but rather is in contact with a low impedance environment, such as saline, blood, or  
10 other electrolyte. The presence of an electrolyte provides a relatively low impedance path back to the common or return electrode, which may be on the electrosurgical probe or may be attached externally to the patient. Such electrosurgical methods are particularly useful when a region is to be flushed  
15 with saline, such as in an electrosurgical ablation of fibrocartilage, articular cartilage, meniscal tissue, and the like, in arthroscopic procedures.

In some cases, it may be desirable to provide current limitation or control when individual electrode  
20 terminals contact very high resistance body structures, such as bone, cartilage (which has a higher resistivity than meniscus and other tissues), and the like. Current limitation when the electrode terminals contact high resistance structures will usually require active control schemes (i.e.,  
25 passive control circuitry will be inadequate), and it will be possible to provide control protocols where current can be limited when it either exceeds or falls below an expected range characteristic of the target tissue to be treated.

A further understanding of the nature and advantages  
30 of the invention will become apparent by reference to the remaining portions of the specification and drawings.

#### BRIEF DESCRIPTION OF THE DRAWINGS

Fig. 1 is a perspective view of the electrosurgical  
35 system including an electrosurgical probe and electrosurgical power supply constructed in accordance with the principles of the present invention.

WO 94/26228

PCT/US94/05168

8

Fig. 2 is an enlarged, detailed view of the distal tip of the electrosurgical probe of Fig. 1.

Fig. 3 is a cross-sectional view of the distal tip of the electrosurgical probe of Figs. 1 and 2.

5 Fig. 4 is a schematic view of a particular connector and lead arrangement which can be employed in the electrosurgical probe of Figs. 1-3.

10 Fig. 5 is a detailed cross-sectional view of the distal end of an electrosurgical probe illustrating an electrode arrangement suitable for rapid cutting and ablation of tissue structures.

Fig. 6 is a detailed left-end view of the distal end of the electrosurgical probe of Fig. 5.

15 Fig. 7 is a detailed cross-sectional view of the distal end of an electrosurgical probe illustrating an electrode arrangement suitable for smoothing of tissue structures.

20 Fig. 8 is a perspective view of an electrosurgical probe with the electrode array disposed at a right angle to the axis of shaft of probe.

Fig. 9 is a perspective view of an electrosurgical probe with electrode arrays disposed on the lateral and tip surfaces at the distal end of the probe.

25 Fig. 10 is a perspective view of the distal end of an electrosurgical probe with an atraumatic shield extending distally from the electrode array.

Fig. 11 illustrates use of the probe of Fig. 10 in ablating target tissue.

30 Fig. 12 is a detailed end view of an electrosurgical probe having an elongate, linear array of electrode terminals suitable for use in surgical cutting.

Fig. 13 is a detailed view of a single electrode terminal having a flattened end at its distal tip.

35 Fig. 14 is a detailed view of a single electrode terminal having a pointed end at its distal tip.

Fig. 15 is a detailed view of a single electrode terminal having a square end at its distal tip.

WO 94/26228

PCT/US94/05168

9

Figs. 16 and 16A are electrical schematic diagrams illustrating two embodiments of the circuitry of a high frequency power supply constructed in accordance with the principles of the present invention.

5 Fig. 17 is a perspective view of the distal end of an electrosurgical probe having an elongate, linear array of electrode terminals.

Fig. 18 is a perspective view of the distal end of an electrosurgical probe having a deflectable distal tip.

10 DESCRIPTION OF THE PREFERRED EMBODIMENT

The present invention provides a method and apparatus for selectively heating a target location within a patient's body, such as solid tissue or the like, particularly including articular cartilage, fibrocartilage, meniscal  
15 tissue, and the like. In addition to articular cartilage and fibrocartilage, tissues which may be treated by the method and apparatus of the present invention include tumors, abnormal tissues, and the like. For convenience, the remaining  
20 disclosure will be directed specifically at the cutting, shaping or ablation of fibrocartilage and articular cartilage during arthroscopic or endoscopic procedures but it will be appreciated that the apparatus and methods can be applied  
25 equally well to procedures involving other tissues of the body, as well as to other procedures including open surgery, laparoscopic surgery, thoracoscopic surgery, and other endoscopic surgical procedures.

The target tissue will be, by way of example but not limited to, articular cartilage, fibrocartilage, and meniscal  
30 tissue, such as found in the joints of the knee, shoulder, hip, foot, hand and spine. The present invention uses an electrode array including a plurality of independently current-limited and/or power-controlled electrode terminals distributed over a distal contact surface of a probe to apply  
35 heat selectively to the target tissue while limiting the unwanted heating of the surrounding tissue and environment resulting from power dissipation into surrounding electrically

2622°

PCT/US94/05168

10

ductive liquids, such as blood, normal saline, and the  
e.

The electrosurgical probe will comprise a shaft  
ing a proximal end and a distal end which supports an  
electrode array near its distal end. The shaft may assume a  
e variety of configurations, with the primary purpose being  
mechanically support the electrode array and permit the  
ating physician to manipulate the array from a proximal end  
the shaft. Usually, the shaft will be a narrow-diameter  
or tube, more usually having dimensions which permit it to  
introduced through an associated trocar or cannula in a  
mally invasive procedure, such as arthroscopic,  
roscopic, thoracoscopic, and other endoscopic procedures.  
, the shaft will typically have a length of at least  
m, more typically being 25 cm, or longer, and will have a  
eter of at least 1 mm, usually being at least 2 mm, and  
ently being in the range from 2 to 10 mm. The shaft may  
igid or flexible, with flexible shafts optionally being  
inc with a generally rigid external tube for mechanical  
ort. Flexible shafts may be combined with pull wires,  
memory actuators, and other known mechanisms for  
ting selective deflection of the distal end of the shaft  
cilitate positioning of the electrode array. The shaft  
usually include a plurality of wires or other conductive  
ats running axially therethrough to permit connection of  
electrode array to a connector at the proximal end of the  
Specific shaft designs will be described in detail in  
tion with the figures hereinafter.

The electrode array have an area in the range from  
 $\text{mm}^2$  to  $2.5 \text{ cm}^2$ , preferably from  $0.025 \text{ mm}^2$  to  $1 \text{ cm}^2$ , more  
ably from  $0.25 \text{ mm}^2$  to  $50 \text{ mm}^2$ , and often from  $0.5 \text{ mm}^2$  to  
, and will usually include at least two isolated  
ode terminals, more usually at least four electrode  
als, preferably at least six electrode terminals, more  
ably at least eight electrode terminals, even more  
ably at least 15 electrode terminals, and still more  
ably at least 20 electrode terminals, and often 50 or  
ec de terminals, disposed over the distal contact

l  
h  
et  
a  
e  
de  
o a  
ty  
ted  
e  
ode  
r  
the  
many  
ical  
of  
l  
of  
tip  
the  
of

WO 94/26228

PCT/US94/05168

12

high frequency voltage between the common electrode and the electrode array results in the conduction of high frequency current from each individual electrode terminal to the said common electrode. The current flow from each individual

5 electrode terminal to the common electrode is controlled by either active or passive means, or a combination thereof, to deliver electrical energy to the target tissue while minimizing energy delivery to surrounding (non-target) tissue and any conductive fluids which may be present (e.g., blood, 10 electrolytic irrigants such as saline, and the like).

In a preferred aspect, this invention takes advantage of the differences in electrical resistivity between the target tissue (e.g., articular cartilage or fibrocartilage) and the surrounding conductive liquid (e.g., 15 isotonic (normal) saline irrigant). By way of example, for any selected level of applied voltage, if the electrical conduction path between the common electrode and one of the individual electrode terminals within the electrode array is isotonic saline irrigant liquid (having a relatively low 20 electrical resistivity), said current control means connected to the individual electrode will limit current flow so that the heating of intervening conductive liquid is minimized. In contrast, if a portion of or all of the electrical conduction path between the common electrode and one of the individual 25 electrode terminals within the electrode array is articular cartilage or fibrocartilage (having a relatively higher electrical resistivity), said current control circuitry or switch connected to said individual electrode will allow current flow sufficient for the heating or ablation or 30 electrical breakdown of the target tissue in the immediate vicinity of the electrode surface.

The application of a high frequency voltage between the common or return electrode and the electrode array for appropriate time intervals effects ablation, cutting or 35 reshaping of the target tissue. The tissue volume over which energy is dissipated (i.e., a high voltage gradient exists) may be precisely controlled, for example, by the use of a multiplicity of small electrodes whose effective widths (i.e.,

WO 94/26228

PCT/US94/05168

13

diameters for round wire terminals) range from about 0.05 mm to 2 mm, preferably from about 0.1 mm to 1 mm. Electrode terminal areas for both circular and non-circular terminals will have a contact area below 5 mm<sup>2</sup>, preferably being in the

5 range from 0.001 mm<sup>2</sup> to 2 mm<sup>2</sup>, and more preferably from 0.01 mm<sup>2</sup> to 1 mm<sup>2</sup>. The use of small electrode terminals reduces the extent and depth of tissue necrosis as a consequence of the divergence of current flux lines which  
10 Energy deposition in tissue sufficient for irreversible damage (i.e., necrosis) has been found to be limited to a distance of about one-half to one electrode terminal diameter. This is a particular advantage over prior electrosurgical probes employing single and/or larger electrodes where the depth of  
15 tissue necrosis may not be sufficiently limited. Heretofore, increased power application and ablation rates would usually be achieved by increasing the electrode area. Surprisingly, with the present invention, it has been found that the total electrode area can be increased (to increase power delivery and ablation rate) without increasing depth of necrosis by  
20 providing multiple small electrode terminals. Preferably, the terminals will be spaced-apart by a distance in the range from one-tenth diameter to one diameter for optimum power delivery, with smaller spacing between larger terminals. The depth of  
25 necrosis may be further controlled by switching the applied voltage off and on to produce pulses of current, said pulses being of sufficient duration and associated energy density to effect ablation and/or cutting while being turned off for periods sufficiently long to allow for thermal relaxation  
30 between energy pulses. In this manner, the energy pulse duration, magnitude and the time interval between energy pulses are selected to achieve efficient rates of tissue ablation or cutting while allowing the temperature of the heated zone of tissue to "relax" or return to normal  
35 physiologic temperatures before the onset of the next energy (current) pulse.

The rate of energy delivery to the target tissue is controlled by the applied voltage level and duty cycle of the

WO 94/26228

PCT/US94/05168

14

voltage pulse. The use of high frequency current minimizes induced stimulation of muscle tissue or nerve tissue in the vicinity of the body structure being treated. In addition, high frequencies minimize the risk of interfering with the natural pacing of the heart in circumstances where the probe of the present invention is used near the heart.

The power applied to the common electrode and the electrode array will be at high or radio frequency, typically between about 20 kHz and 20 MHz, usually being between about 30 kHz and 1 MHz, and preferably being between about 50 kHz and 400 kHz. The RMS (root mean square) voltage applied will usually be in the range from about 5 volts to 1000 volts, preferably being in the range from about 50 volts to 800 volts, and more preferably being in the range from about 10 volts to 500 volts. Usually, the current level will be selectively limited or controlled and the voltage applied will be independently adjustable, frequently in response to the resistance of tissues and/or fluids in the pathway between an individual electrode and the common electrode. Also, the applied current level may be in response to a temperature control means which maintains the target tissue temperature with desired limits at the interface between the electrode arrays and the target tissue. The desired surface temperature of the target tissue will usually be in the range from about 40°C to 500°C, and more usually from about 50°C to 300°C.

The preferred power source of the present invention delivers a high frequency current selectable to generate average power levels ranging from tens of milliwatts to tens of watts per electrode, depending on the target tissue being heated, the rate of ablation desired or the maximum allowed temperature selected for the probe tip. The power source allows the user to select the current level according to the specific requirements of a particular arthroscopy procedure or other endoscopic procedure.

The power source will be current limited or otherwise controlled so that undesired heating of electrically conductive fluids or other low electrical resistance tissues does not occur. In a presently preferred embodiment of the

WO 94/26228

PCT/US94/05168

15

present invention, current limiting inductors are placed in series with each independent electrode terminal, where the inductance of the inductor is selected to provide high impedance at the frequency of operation. Alternatively,

5 capacitor-inductor (LC) circuit structures may be employed, as described in more detail below. Additionally, a current limiting resistor may be selected having a large positive temperature coefficient of resistance so that, as the current level begins to rise for any individual electrode in contact  
10 with a low resistance medium (e.g., saline irrigant), the resistance of the current limiting resistor increases significantly, thereby minimizing the power delivery from said electrode into the low resistance medium (e.g., saline irrigant). Thus, the electrode terminal sees a relatively  
15 constant current source so that power dissipation through a low resistance path, e.g., normal saline irrigant, will be substantially diminished.

As an alternative to such passive circuit structures, constant current flow to each electrode terminal  
20 may be provided by a multi-channel power supply. A substantially constant current level for each individual electrode terminal within a range which will limit power delivery through a low resistance path, e.g., isotonic saline irrigant, would be selected by the user to achieve the desired  
25 rate of cutting or ablation. Such a multi-channel power supply thus provides a constant current source with selectable current level in series with each electrode terminal, wherein all electrodes will operate at or below the same, user selectable maximum current level. Current flow to all  
30 electrode terminals could be periodically sensed and stopped if the temperature measured at the surface of the electrode array exceeds user selected limits. Particular control system designs for implementing this strategy are well within the skill of the art.

35 Yet another alternative involves the use of one or several power supplies which allow one or several electrodes to be simultaneously energized and which include active control means for limiting current levels below a preselected



WO 94/26228

PCT/US94/05168

16

maximum level. In this arrangement, only one or several electrodes would be simultaneously energized for a brief period. Switching means would allow the next one or several electrodes to be energized for a brief period. By

5 sequentially energizing one or several electrodes, the interaction between adjacent electrodes can be minimized (for the case of energizing several electrode positioned at the maximum possible spacing within the overall envelope of the electrode array) or eliminated (for the case of energizing  
10 only a single electrode at any one time). As before, a resistance measurement means may be employed for each electrode prior to the application of power wherein a (measured) low resistance (below some preselected level) will prevent that electrode from being energized during given  
15 cycle. By way of example, the sequential powering and control scheme of the present invention would function in a manner similar to an automobile distributor. In this example, an electrical contact rotates past terminals connected to each spark plug. In this example, each spark plug corresponds to  
20 the exposed surface of each of the electrodes. In addition, the present invention includes the means to measure the resistance of the medium in contact with each electrode and cause voltage to be applied only if the resistance exceeds a preselected level.

25 The electrode array is formed over a contact surface on the shaft of the electrosurgical probe. The area of the contact surface can vary widely, and the contact surface can assume a variety of geometries, with particular areas in geometries being selected for specific applications.  
30 Electrode array contact surfaces will have areas as set forth above and can be planar, concave, convex, hemispherical, conical, or virtually any other regular or irregular shape. Most commonly, the electrode arrays will be formed at the distal tip of the electrosurgical probe shaft, frequently  
35 being planar, disk-shaped, or hemispherical surfaces for use in reshaping procedures or being linear arrays for use in cutting. Alternatively or additionally, the electrode arrays may be formed on lateral surfaces of the electrosurgical probe

WO 94/26228

PCT/US94/05168

17

shaft (e.g., in the manner of a spatula), facilitating access to certain body structures in electrosurgical procedures.

In an exemplary embodiment as shown in Fig. 1, a probe 10 includes an elongated shaft 13 which may be flexible or rigid, with flexible shafts optionally being disposed with support cannulas or other structures (not shown). Referring to Figs. 1 and 2, the probe 10 includes an array of electrode terminals 58 disposed on the distal tip 12 of shaft 13. The electrode terminals 58 are electrically isolated from each other and from a common or return electrode 17 which is disposed on the shaft proximally of the electrode array, preferably being with 1 mm to 25 mm of the distal tip 12. Proximally from the tip 12, the return electrode 17 is generally concentric with the shaft of the probe 10. Said probe 10 may be constructed having zones of varying flexibility (or conversely, stiffness) along the probe length. It is advantageous; for example, to have greater flexibility (i.e., lesser stiffness) at the distal end of the probe 10 (region  $L_3$  in Fig. 1) in order to increase the capability of the probe to gain access to operative sites which are not in a direct line path with the entrance site into the body cavity. In the preferred embodiment illustrated in Fig. 1, probe 10 would have two or three regions wherein regions  $L_1$  and  $L_2$  are stiffer than region  $L_3$ . The preferred length for region  $L_1$  is in the range from 0.5 mm to 25 mm, for region  $L_2$  is in the range from 1 mm to 20 mm, and for region  $L_3$  is from 5 cm to 25 cm.

Still referring to Figs. 1 and 2, each of the terminals 58 is connected to an active or passive control network within a power source and controller 28 by means of the individually insulated conductors 42. The proximal portion of the probe 10 is also equipped with a connector 19 which can be removably connected to a connector 20 in a reusable handle 22. The proximal portion of the handle 22 and cable 24 also has a connector 26 for providing the electrical connections to the controller 28.

Referring to Fig. 1, the power source and controller 28 provides high frequency voltage to the electrode

WO 94/26228

PCT/US94/05168

18

terminals 28 (of Fig. 2) by means of a cable 24 from connector 20 in handle 22 to receptacle 26, the power source and controller 28. The power source and controller 28 has a selector 30 to change the applied voltage level. A

5 conductor 44 extends from the common electrode 17 (Fig. 2) and is connected to the power source and controller 28 by the same cable 24. The power source and controller 28 also includes the means for energizing the electrodes 58 of probe 10 through the depression of foot pedal 39 in a foot pedal 37 positioned  
10 close to the user. The assembly 37 may also include a second pedal (not shown) for remotely adjusting the energy level applied to electrodes 58.

Referring to Fig. 2 and Fig. 3, the distal tip 12 of probe 10 in the preferred embodiment contains the exposed  
15 surfaces of the electrode terminals 58. The electrode terminals 58 are secured in a matrix 48 of suitable insulating material (e.g., ceramic or glass) which could be formed at time of manufacture in a flat, hemispherical or other shape according to the requirements of a particular procedure.  
20 Proximal to the distal tip 12, the isolated electrode wires 42 are contained in an insulating insert 14 (Fig. 3) of generally cylindrical shape extending from matrix 48 and into a tubular support member 56.

Referring to Figs. 2 and 3, the tubular support  
25 member 56 is preferably formed from an electrically conductive material, usually metal, and is disposed within an electrically insulative jacket 18. The electrically conductive tubular support member 56 defines the common or return electrode 17 with respect to the array of individual  
30 electrodes 12 in order to complete the electrical circuit such that current will flow between each individual electrode 58 and the common electrode structure 17. The common electrode 17 is located near the distal tip 12 of probe 10. The insulating insert 14 distal to the common electrode 17 is  
35 composed of an electrically insulating material such as epoxy, plastic, ceramic, glass or the like. The electrically conductive tubular support member 56 will preferably be sufficiently rigid to provide adequate column strength to

WO 94/26228

PCT/US94/05168

19

manipulate body structures with the shaft of probe 10. The tubular member 56 is composed of a material selected from the group consisting of stainless steel, titanium or its alloys, molybdenum or its alloys, and nickel or its alloys. The

5 electrically conductive tubular member 56 will preferably be composed of the same metal or alloy which forms the electrode terminals 58 to minimize any potential for corrosion or the generation of electrochemical potentials due to the presence of dissimilar metals contained within an electrically  
10 conductive fluid 50 such as isotonic saline commonly used as an irrigant for the intended applications.

Referring now to Figs. 2 and 3, the common electrode terminal structure 17 includes a perforate shield 16 formed from an electrically insulating material which is porous or  
15 which contains openings which allow a surrounding electrically conducting liquid 50 (e.g., isotonic saline) to contact the electrically conductive layer 54 which is electrically coupled to the tubular member 56. As shown in Fig. 3, an annular gap 54 may be provided between electrically insulating member 16  
20 and common electrode member 56. Proximal to the common electrode region 17, the tubular member 56 is covered over its entire circumference by the electrically insulating jacket 18, which is typically formed as one or more electrically  
25 insulative sheaths or coatings, such as polytetrafluoroethylene, polyimide, and the like. The annular gap 54 preferably has capillary dimensions to maximize fluid contact even when the common electrode terminal structure 17 is not fully immersed in the electrically conductive liquid.

The provision of the electrically insulative jacket  
30 16 over the common electrode structure 17 prevents direct electrical contact between the surface of tubular member 56 and any adjacent body structure. Such direct electrical contact between a body structure (e.g., tendon) and an exposed common electrode member 56 could result in unwanted heating  
35 and necrosis of the structure at the point of contact. As shown in Fig. 3, any contact between the common electrode structure 17 (including perforate shield 16) and a body structure will only result in the passage of relatively low

WO 94/26228

PCT/US94/05168

20

current density flux lines 60, thereby minimizing the Joulean heating which could occur in any adjacent body structure. Referring to Figs. 1 and 3, electrical communication between tubular member 56 and the connector 19 may be provided by an electrically conducting lead wire 44.

The electrode terminals 58 are electrically insulated from each other and are secured together in an array by the electrically insulating matrix 48. The insulating matrix 48 may be ceramic, glass or other high-temperature insulating material. Proximal to the distal tip 12, the electrode wires 42 are covered with an electrically insulating material (e.g., polyimide) and are contained in tubular member 56 which extends the length of the probe 10. The distal tip 12 end of the probe 10 includes the common electrode structure 17 extending over a length  $L_2$  which may range from 1 to 20 mm, preferably being from 2 mm to 20 mm. A tip offset  $L_1$  provides a minimum separation between said common electrode 17 and the array of electrodes 12, usually being at least 0.5 mm, more usually being at least 1 mm, and sometimes being 2 mm or greater, and preferably being in the range from 0.5 mm to 2 mm.

A central aspect of the present invention is the ability of the probe 10 to deliver high energy flux levels selectively only to the intended areas, i.e., the target tissue T, and not to surrounding healthy tissue or electrically conducting fluids (e.g.; isotonic saline irrigant). Such directed energy transfer results in selective heating of the target tissue which allows the probe to cut, ablate or recontour the target tissue. Referring to Figs. 2 and 3, when the electrode array 12 of the probe 10 is engaged against a region of target tissue 52, some of the electrode terminals 58 will be in contact with target tissue, while other electrode terminals may be in contact with electrically conducting fluid 50. Each of the electrode terminals 58 experiences an electrical impedance which is characteristic of the material which is disposed between the individual electrode terminals 58 and the common electrode structure 17. The present invention takes advantage of the fact that the

WO 94/26228

PCT/US94/05168

21

electrical impedance (resistivity) of typical target tissue at frequencies of 50 kHz or greater (e.g., fibrocartilage and articular cartilage) is higher by a factor of approximately four or more than that of the surrounding electrically conducting fluid 50 typically used as an irrigant during arthroscopic and endoscopic procedures. Thus, if the current passing through each of the electrode terminals 58 is limited to a preselected maximum value, the regions of higher electrical resistance will generate more Joulean heating (power =  $I^2R$ , where I is the current through resistance, R) than a region of lower electrical resistance.

In contrast to the present invention, electrosurgical methods and apparatus of the prior art involving a single electrode exhibit substantially reduced effectiveness when a portion of the exposed electrode is in contact with a low-resistance pathway (e.g., isotonic saline irrigant). In those circumstances, the majority of power delivered from the single electrode tip is dissipated within the low resistance electrically conducting fluid, thereby significantly reducing the capability to cut or ablate the target tissue.

Furthermore in accordance with the teachings of the present invention, temperature measurement means may be provided in the distal tip 12 to limit the power delivery if measured temperatures exceed user selected levels. Therefore, by either one or a combination of both means described above the target tissue will be selectively heated up while the conductive liquids will experience a minimal rise in temperature. Thus, the probe 10 will selectively and efficiently cut or ablate the target tissue.

Still referring to Fig. 3, another aspect of the present invention is the restriction of high current densities or fluxes to a confined region 62 as defined by the current flux lines 60. The confinement of the high current densities to a limited region 62 allows healthy tissue nearby to remain at or near normal physiologic temperatures, thereby limiting the depth of necrosis into surrounding or underlying healthy tissue 52 to a depth of approximately one electrode diameter.

WO 94/26228

PCT/US94/05168

22

Alternatively, by energizing only one or several electrode terminals 58 at any one time, the depth of necrosis can be still further reduced since the thermal relaxation time between energy pulses for any specific electrode will serve to further limit the depth of necrosis.

Referring to Figs. 1 and 4, the proximal end of probe 10 includes a connector 19 which includes a multiplicity of electrically conductive pins or contacting member 74 which are in electrical communication with each electrode wire 42. Said electrical communication may be accomplished through mechanical crimping of the terminus of connector pin 74 onto the bare (exposed) electrode lead wire 42 at location 80. Alternatively, the electrode lead wire may be welded, brazed or soldered to the connector pin 74 at location 80. Likewise, the return wire 44 electrically communicating with the common electrode member 56 is connected to one connector pin 76 in a like manner as described above for the electrode leads.

The multiplicity of connector pins 74 and 76 are maintained in a predetermined spaced-apart relationship which corresponds to a mating receptacle 20 at the distal end of the handle 22. The position of the contact pins 74 and 76 is maintained by an electrically insulative member 78 which is secured within a connector housing 72 using adhesives, ultrasonic welding or the like. Alternatively, the connector housing may be overmolded around the connector pin assembly 78 and proximal end of the probe shaft member. In the embodiment shown in Fig. 4, the electrically conductive tubular member 56 is inserted into the distal end of the connector 72 and secured using an adhesive or potting material (e.g., epoxy). The electrically insulative jacket 18 extends from the proximal edge of the common electrode structure 17 to and over an extension 73 at the distal end of the connector housing 72. The electrically insulating jacket 18 thereby effects a liquid tight seal at an interface 82 between the jacket 18 and the connector extension 73. The seal prevents the leakage of electrically conductive liquid (e.g., isotonic saline) into the cavity containing the electrical leads and connector pins which could result in an electrical short between the

WO 94/26228

PCT/US94/05168

23

electrodes and/or between any electrode and the common electrode 17.

Still referring to Fig. 4, a sealing means 84 may also be provided at the proximal end of the connector housing 72 in order to minimize the leakage of electrically conductive liquid (e.g., isotonic saline) at the interface between the connector 19 and the handle connector 20. Said seal member 84 may include a conventional elastomeric o-ring placed in a suitably sized o-ring groove within the connector housing 72.

Referring to Figs. 5 and 6, an embodiment of the present invention designed for rapid ablation of body structures includes a circular array 12 of electrode terminals 58 maintained in a spaced-apart relationship by electrical insulating matrix 48. For convenience, identical numbering for similar elements will be used for all embodiments. Said electrode terminals 58 may be fabricated using wires having diameters in the preferred range set forth above with an electrically insulating coating extending up to or through the electrically insulating member 48. The regions of the electrode terminals 58 distal to the distal face of the electrically insulating matrix 48 are bare (i.e., no electrically insulating coating) so that the electrode terminals 58 are directly exposed to the surrounding electrically conductive liquid (e.g., isotonic saline) or body structure. The wires and electrode terminals 58 will usually be metals or metal alloys, preferably being selected from the group comprising titanium, tantalum, molybdeum, tungsten, platinum, rhodium, and alloys thereof. The wires and electrode terminals 58 may be solid or may be composites including a core wire which has been coated with one or more of the above metals, compounds or alloys thereof. The electrically insulative matrix 48 may be ceramic or glass or glass/ceramic composition (e.g., alumina, borosilicate glass, quartz glass, or the like).

Still referring to Figs. 5 and 6, the electrode terminals 58 may extend a distance  $X_3$  beyond the distal face of the electrically insulating matrix 48. The extension length  $X_3$  may range from 0.05 to 1.0 mm, more preferably being



WO 94/25228

PCT/US94/05168

24

in the range from 0.1 mm to 0.4 mm. The interelectrode spacing  $X_1$  ranges from 0.07 mm to 0.4 mm. The electrode terminals 58 may be circular, square, rectangular, triangular or polygonal, or irregular in cross-sectional shape. The characteristic dimension  $D_1$  (i.e., diameter in the case of circular electrodes shown in Fig. 6) ranges from 0.1 mm to 0.5 mm depending on the overall size of the probe, the rate of ablation required and the maximum allowed depth of necrosis of the body structure being treated. The overall diameter  $D_2$  of the electrode array 12 may range from 0.5 mm to 10 mm, more preferably 1 mm to 5 mm, depending on the particular application and size of the body structure to be treated. In the case of the circular electrode array 12 shown in Fig. 6, the electrode terminals 58 are positioned a small distance  $X_2$  from the perimeter of the electrically insulating matrix 48. Said distance  $X_2$  is preferably maintained as small as practical to maximize the zone of body structure ablated so that it approximates the diameter  $D_2$  of the distal end of the probe 10, thereby permitting the probe to readily engage the body structure to be ablated without mechanical resistance resulting from an excessive border or distance  $X_2$  where no ablation has occurred. The distance  $X_2$  is preferable less than 0.5 mm and more preferably less than 0.3 mm.

Referring to Fig. 7 another embodiment of the present invention intended for smoothing of body structures (e.g., articular cartilage located on the surface of a condyle) while minimizing the depth of necrosis of the underlying tissue includes electrode terminals 58 in an electrical insulating matrix 48 is similar to the array shown in Figs. 5 and 6 except that the electrode terminals 58 are flush with the surface of the electrically insulating matrix 48. The rate of ablation achievable with the use of "flush" electrode terminals 58 is lower than that for electrodes which extend beyond the face of the electrically insulative matrix 48, but such flush electrode structure can provide a smoother surface on the body structure being treated while minimizing the depth of ablation and necrosis.

WO 94/26228

PCT/US94/05168

25

Referring to Fig. 8, an alternative configuration of the shaft of a probe 100 is illustrated. This configuration is similar to the electrode array on distal tip 12 of probe 10 and shaft 14 arrangement shown in Figs. 5 and 7, except that the shaft 14 near the distal end of probe 100 is bent at an angle with respect to the longitudinal axis of the probe. Said angle may range from about 15 degrees to 90 degrees or more depending on the particular tissue structure to be treated. By way of example, the electrode terminal 58 arrangement shown in Fig. 8 allows the electrode array to move over a body structure disposed parallel to the longitudinal axis of the probe 100 with said movement corresponding to forward and backward motion of the probe handle 22. Said electrode terminals 58 may extend beyond the surface of the electrically insulating matrix 48 as shown in Figs. 5 and 8 or may be flush with the electrically insulating matrix 48 as shown in Fig. 7.

Yet another embodiment of the electrode array of the present invention is illustrated in Fig. 9, wherein electrode terminals 58 are disposed on two (or more) surfaces of the distal end of a probe 120. By way of example, electrode terminals 58a may be located on a lateral surface, spaced-apart by an electrically insulative matrix 48a, and electrodes 58b may be located on the distal tip of the probe 120, spaced-apart by an electrically insulating matrix 48b. The two electrode arrays are supported by an electrically insulating member 82 preferably having rounded atraumatic edges 80 to prevent any unwanted mechanical damage (e.g., abrasion) to the tissue being treated. As described in the previous embodiments, a common electrode structure 17 is disposed proximal to these electrode arrays to provide for the return electrical current path.

Yet another embodiment of the present invention is illustrated in Figs. 10 and 11. In this embodiment, an electrically insulating shield 74 (or member with electrically insulative coating) extends beyond an array of electrode terminals 58 having a width, length and thickness suitable to allow the electrode terminals 58 at the tip of probe 140 to

WO 94/26228

PCT/US94/05168

26

engage a body structure 52 (e.g., meniscus) while preventing any current flow and related damage to another closely positioned body structure 92 (e.g., the nearby articular cartilage 92 located on the surface of the condyle 90). In this manner, the array of electrode terminal 58 can be brought into close contact with the target tissue 52 without endangering any critical body structures nearby. By way of example, shield 74 may be a metal tab or extension from the probe body which is covered or coated with electrical insulation. Alternatively, the spatula shaped member 74 may be formed by injection molding as an integral portion of the insulating insert 14.

Yet another embodiment is illustrated in Fig. 12 and is designed for cutting of body structures. In this embodiment, the electrode terminals 58 are arranged in a linear or columnar array of one of more closely spaced columns so that as the electrodes 58 are moved along the longer axis (denoted by arrow 160 in Fig. 12), the current flux lines are narrowly confined at the tip of the electrode terminals 58 and result in a cutting effect in the body structure being treated. As before, the current flux lines 60 emanating from the electrode terminals 58 pass through the electrically conducting liquid to the common electrode structure 17 located proximal to the probe tip.

Referring now to Figs. 13, 14 and 15, several alternative geometries are shown for the electrode terminals 58. These alternative electrode geometries allow the electrical current densities emanating from the electrode terminals 58 to be concentrated to achieve an increased ablation rate and/or a more concentrated ablation effect due to the fact that sharper edges (i.e., regions of smaller radii of curvature) result in higher current densities. Fig. 13 illustrates a flattened extension of a round wire electrode terminal 58 which results in higher current densities at the edges 180. Another example is shown in Fig. 14 in which the electrode terminal 58 is formed into a cone shaped point 182 resulting in higher current densities at the tip of the cone. Yet another example is shown in Fig. 15 in which the electrode

WO 94/26228

PCT/US94/05168

27

58 is a square wire rather than a round wire. The use of a square wire results in high current densities along each edge 184 which results from the juncture of adjacent faces.

Referring to Fig. 16, a high frequency power supply 28 comprises a voltage source 98 which is connected to a multiplicity of current limiting elements 96a, 96b, ..., 96z, typically being inductors having an inductance in the range from 100 to 5000 microhenries, with the particular value depending on the electrode terminal dimensions, the desired ablation rates, and the like. In the case of ablation of articular and fibrocartilage, suitable inductances will usually be in the range from 50 to 5000 microhenries. Capacitors having capacitance values in the range from 200 to 10,000 picofarads may also be used as the current limiting elements.

Current limiting elements may also be part of a resonant circuit structure having a capacitor 101 in series with the electrode terminal and an inductor 103 between the electrode lead and the common lead, as illustrated in Fig. 16A. The inductor and capacitor values are selected according to the operating frequency of the voltage source 98. By way of example, at an operating frequency of 100 kHz, current limiting circuit structures may incorporate inductor/capacitor combinations such as (1) 2530 microhenries and 1000 picofarads; (2) 5390 microhenries and 470 picofarads; or (3) 11,400 microhenries and 220 picofarads, respectively.

It would also be possible to use resistors as the current limiting elements. The use of resistors, however, is generally less preferred than use of inductors or capacitor/inductor tuned circuit structures since resistors will have significant  $IR^2$  power losses which are generally avoided with the circuits of Figs. 16 and 16A.

Referring to Figs. 1, 16, and 16A, each of the individual leads 97 from the current limiting elements 96 and 101/103 are removably connected to leads 92 in cable 24 via connector 26. A common electrode lead 99 from voltage source 98 is removably connected to lead 94 in cable 24 via the same connector 26. Each of the electrode leads 92 and common

WO 94/26228

PCT/US94/05168

28

electrode lead 94 in cable 24 extend into and through handle 22 and terminate in connector 20 located at the distal end of handle 22. As described with reference to Figs. 3 and 4, electrical leads 92 and common electrode lead 94 connect to electrode leads 42 and common electrode lead 44, respectively, via the interface between removably attachable connectors 19 and 20. In this manner, each of the electrodes in array 12 can be powered by a single voltage source 98 with independent current limiting elements or circuit structures attached to each electrode lead 42 via cable lead 92 and controller lead 96.

Current limitation could alternatively be accomplished by providing a separate power supply and current measuring circuitry for each electrode terminal. Current flow to any electrode terminal which exceeds a preselected (or adjustable) limit would be decreased or interrupted.

Another embodiment of the probe of the present invention intended for cutting or ablation of body structures surrounded by electrically conducting liquid (i.e., isotonic saline irrigant) is shown in Fig. 17. Two pairs of electrode terminals 58a/59a and 58b/59b having flattened tips are shown. Leads 58a and 58b are electrically insulated from each other and are individually connected to a separate power source or common voltage supply with independent current limiting circuitry, as discussed above. If an independent power source is used for each pair, then current flow between the electrodes in pair 58a and 59a as well as between the electrodes in pair 58b and 59b. If the leads 44a and 44b from electrodes 59a and 59b are connected to a common electrode 99 in power supply 28, then current will flow between electrode terminals 58a/58b and electrodes 59a/59b. In particular, current will also flow between electrode 58b and 59a. The linear arrangement of electrodes in Fig. 17 is particularly well-suited to rapid cutting of body structures while restricting current flux lines 60 to the near vicinity of the tip of the probe.

Another embodiment of the probe of the present invention is illustrated in Fig. 18. The probe 200 contains a

WO 94/26228

PCT/US94/05168

29

flexible distal region 112 which can be deflected relative to a longitudinal axis 114. Such deflection may be selectively induced by mechanical tension, by way of example, through axial translation of thumb slide 108 located on handle 22

5 which, in turn, increases or decreases tension on a radially offset pull wire 110 connected between the slide and the distal end of the probe 200. Alternatively, a shape memory wire may be used which expands or contracts in response to temperature changes in the wire induced by externally applied  
10 heating currents. Thumb slide 108 could be connected to a rheostat (not shown) which would control a heating current through the wire 110, causing the wire to expand or contract depending on the level of the applied current. Said controllable deflection means improves access to body  
15 structures in certain surgical situations.

Although the foregoing invention has been described in some detail by way of illustration and example, for purposes of clarity of understanding, it will be obvious that certain changes and modifications may be practiced within the  
20 scope of the appended claims.

WO 94/26228

PCT/US94/05168

30

WHAT IS CLAIMED IS:

1. A method for applying electrical energy to a structure within a patient's body, said method comprising:

5 positioning an electrosurgical probe adjacent to the body structure so that an electrode array including a plurality of isolated electrode terminals is brought into at least partial contact with the body structure; and

10 applying high frequency voltage between the electrode array and a common electrode on or in the patient body.

2. A method as in claim 1, wherein current flow from at least two of said electrode terminals is independently  
15 controlled based on impedance between the electrode terminal and the common electrode.

3. A method as in claim 2, wherein current flow is limited when a low impedance path exists between the electrode  
20 terminal and the common electrode.

4. A method as in claim 2, wherein current flow is limited when a high impedance path exists between the  
25 electrode terminal and the common electrode.

5. A method as in claim 1, wherein the high frequency voltage is in the range from 20 kHz to 20 MHz and in the range from 5 volts to 300 volts (RMS).

30 6. A method as in claim 1, further comprising measuring temperature at an interface between the electrode array and the body structure and controlling the voltage, current, or duty cycle to maintain a preset interface temperature.

35 7. A method as in claim 1, wherein the electrode array is present in an electrically conductive environment.

WO 94/26228

PCT/US94/05168

31

8. A method as in claim 7, wherein the electrically conductive environment comprises saline.

9. A method as in claim 8, wherein the electrode  
5 array is positioned against fibrocartilage or articular cartilage in order to ablate a surface thereof.

10. A method as in claim 1, wherein the electrosurgical probe is introduced percutaneously through an  
10 access cannula.

11. A method as in claim 1, wherein the isolated electrode terminals each have a contact area below 15 mm<sup>2</sup>.

12. A method as in claim 11, wherein the isolated electrode terminals have contact surfaces with an area in the  
15 range from 0.001 mm<sup>2</sup> to 2 mm<sup>2</sup>.

13. A method as in claim 12, wherein the area is in  
20 the range from 0.01 mm<sup>2</sup> to 1 mm<sup>2</sup>.

14. An improved method for electrosurgical intervention of the type wherein high frequency voltage from a power supply is applied through an electrode surface contacted  
25 against a body structure, wherein the improvement comprises contacting the body structure with an electrode array and independently limiting current flow through individual terminals of the electrode array, wherein those terminals which are connected back to the power supply through low  
30 electrical impedance paths will not cause excessive heating.

15. An improved method as in claim 14, wherein high frequency current is supplied independently to each electrode terminal through a current limited power source.  
35

16. An improved method as in claim 15, wherein the voltage, current, or duty cycle applied to all of the electrode segments is commonly controlled to maintain a



WO 94/26228

PCT/US94/05168

32

preselected temperature at an interface between the electrode surface and the body structure.

17. An electrosurgical probe comprising:

5 a shaft having a proximal end and a distal end;  
an electrode array disposed near the distal end of the shaft, said array including a plurality of electrically isolated electrode terminals disposed over a contact surface; and

10 a connector disposed near the proximal end of the shaft for electrically coupling the electrode terminals to a high frequency voltage source.

18. An electrosurgical probe as in claim 17,  
15 wherein the shaft is generally rigid over at least a portion of its length.

19. An electrosurgical probe as in claim 17,  
wherein the shaft is flexible over at least a portion of its  
20 length.

20. An electrosurgical probe as in claim 19,  
wherein the shaft is flexible over at least its distal end and further comprising means for selectively deflecting the  
25 flexible distal end.

21. An electrosurgical probe as in claim 17,  
further comprising a common electrode on the shaft, wherein the connector also electrically couples the common electrode  
30 to the high frequency voltage source.

22. An electrosurgical probe as in claim 21,  
further comprising a perforate electrically non-conductive shield over the common electrode.  
35

23. an electrosurgical probe as in claim 22,  
wherein the shield is spaced radially apart from the common electrode to form a capillary gap.

WO 94/26228

PCT/US94/05168

33

24. An electrosurgical probe as in claim 21, wherein the electrode array is disposed over the distal tip of the shaft.

5           25. An electrosurgical probe as in claim 21, wherein the common electrode is a band electrode spaced proximally of the electrode array by a distance in the range from 0.5 mm to 2 mm.

10           26. An electrosurgical probe as in claim 25, wherein the electrode array has an area in the range from 0.01 mm<sup>2</sup> to 2.5 cm<sup>2</sup>.

15           27. An electrosurgical probe as in claim 19, wherein the isolated electrode terminals each have a contact area below 5 mm<sup>2</sup>.

20           28. An electrosurgical probe as in claim 27, wherein the isolated electrode terminals have an area in the range from 0.001 mm<sup>2</sup> to 2 mm<sup>2</sup>.

25           29. An electrosurgical probe as in claim 28, wherein the electrode terminal area is in the range from 0.01 mm<sup>2</sup> to 1 mm<sup>2</sup>.

30           30. An electrosurgical probe as in claim 17, wherein the electrode array is disposed over a lateral surface of the shaft.

35           31. An electrosurgical probe as in claim 17, wherein the electrode array is arranged in a linear pattern to act as cutting blade.

            32. An electrosurgical probe as in claim 17, wherein the electrode array includes at least four electrode terminals.

WO 94/26228

PCT/US94/05168

34

33. An electrosurgical probe as in claim 17,  
further comprising a temperature sensor located in the  
electrode array wherein the connector also electrically  
couples the temperature sensor to the high frequency voltage  
source.

34. An electrosurgical probe as in claim 17,  
wherein the electrode terminals are spaced-apart from each  
other by a distance in the range from about one-tenth to one  
terminal width.

35. An electrosurgical probe as in claim 17,  
wherein the electrode terminals extend distally of the distal  
end of the shaft by a distance in the range from 0.05 mm to  
1 mm.

36. An electrosurgical power supply comprising:  
a multiplicity of independent current-limited  
current sources;  
a common electrode connection; and  
a connector which mates with a connector on an  
electrosurgical probe to electrically couple individual ones  
of said current sources to individual electrode terminals  
within said electrosurgical probe.

37. An electrosurgical power supply as in claim 36,  
wherein the independent current sources comprise current  
limiting inductors in parallel with each other and in series  
with a common voltage source.

38. An electrosurgical power supply as in claim 36,  
wherein the independent current sources comprise current  
limiting capacitors in parallel with each other and in series  
with a common voltage source and resistors in parallel with  
each other and in series with the common electrode connection.

39. An electrosurgical power supply as in claim 36,  
further comprising a temperature controller which adjusts

WO 94/26228

PCT/US94/05168

36

45. An electrosurgical system as in claim 4-, wherein the electrode array is disposed over the distal tip of the shaft.

5 46. An electrosurgical system as in claim 40, wherein the electrode array is disposed over a lateral surface of the shaft.

10 47. An electrosurgical system as in claim 40, wherein the electrode array is arranged in a linear pattern to act as cutting blade.

15 48. An electrosurgical system as in claim 40, wherein the electrode array includes at least 6 electrode terminals.

20 49. An electrosurgical system as in claim 40, further comprising a temperature sensor located in the electrode array wherein the connector also electrically couples the temperature sensor to the high frequency voltage source.

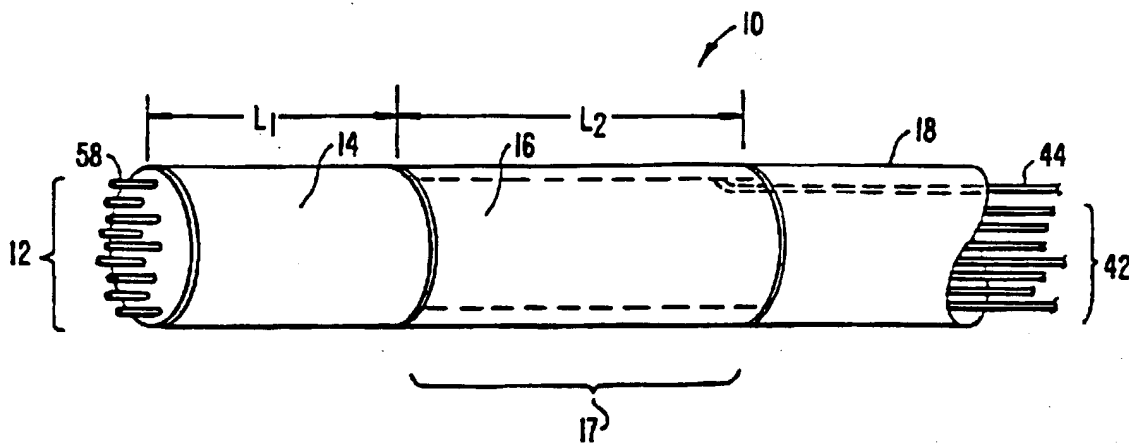
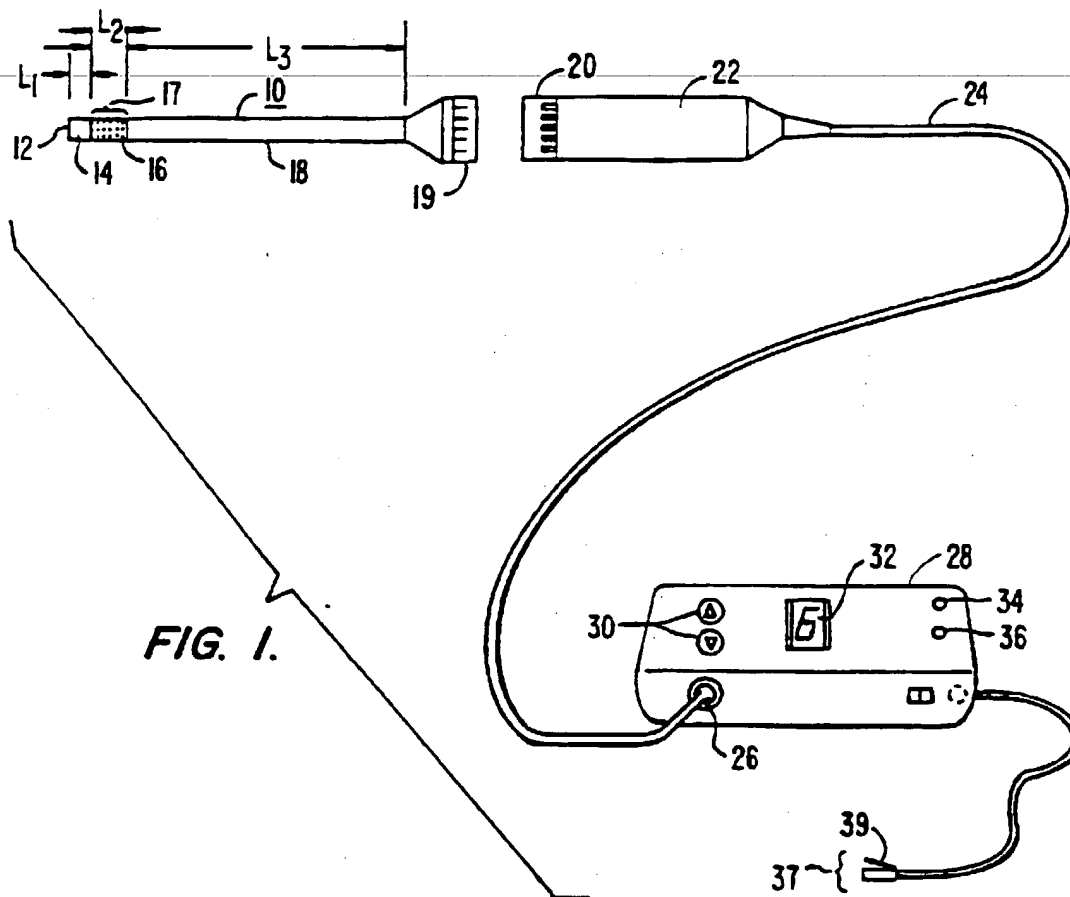
25 50. An electrosurgical system as in claim 40, wherein the independent current-limited voltage sources comprise current limiting resistors in parallel with each other and in series with a common voltage source.

30 51. An electrosurgical system as in claim 40, further comprising a temperature controller which adjusts output of the voltage source in response to a temperature set point and a measured temperature value received from the electrosurgical probe through the connector.

WO 94/26228

PCT/US94/05168

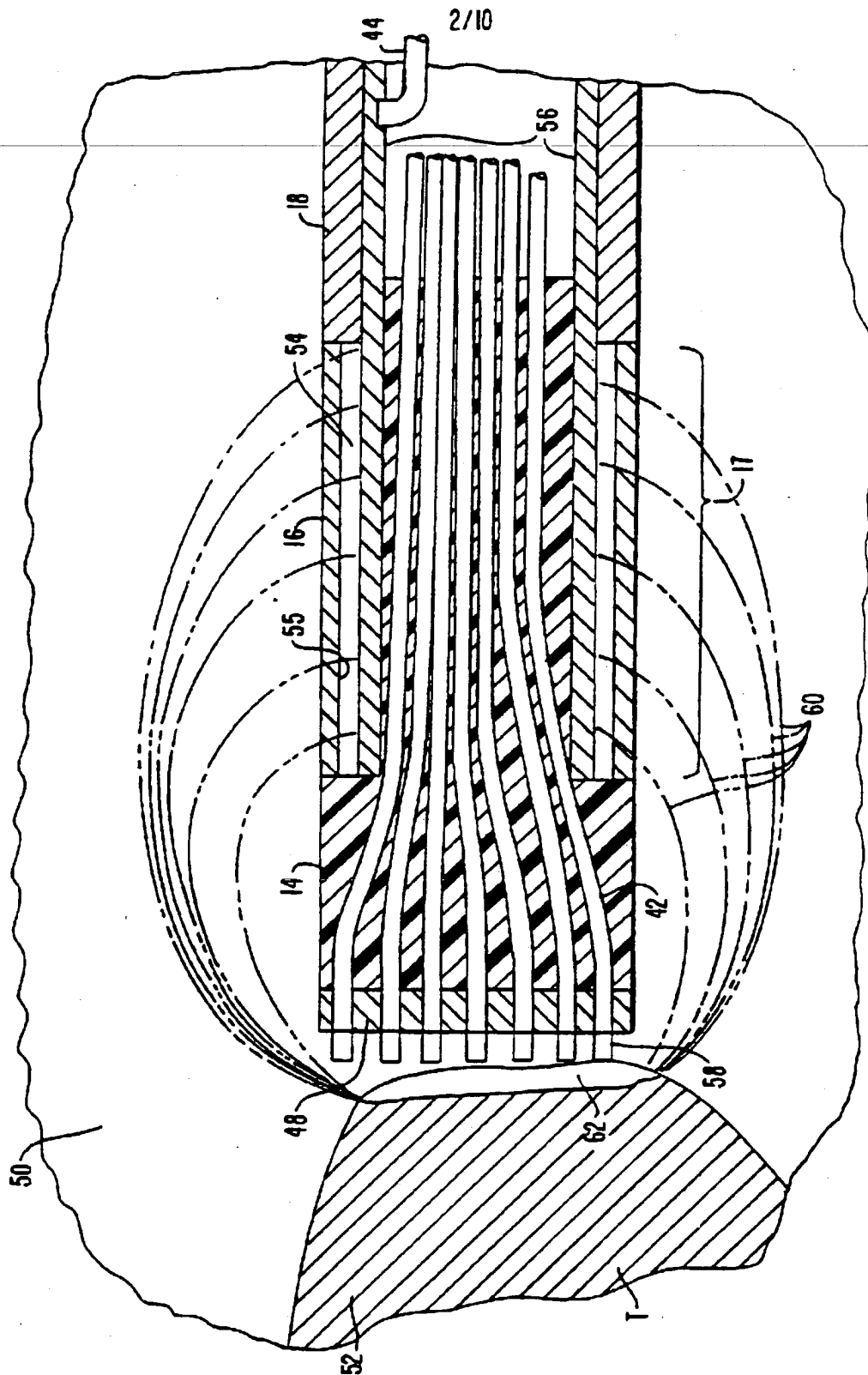
1/10



SUBSTITUTE SHEET (RULE 26)

**WO 94/26228**

**PCT/US94/05168**



SUBSTITUTE SHEET (RULE 26)

WO 94/26228

PCT/US94/05168

3/10

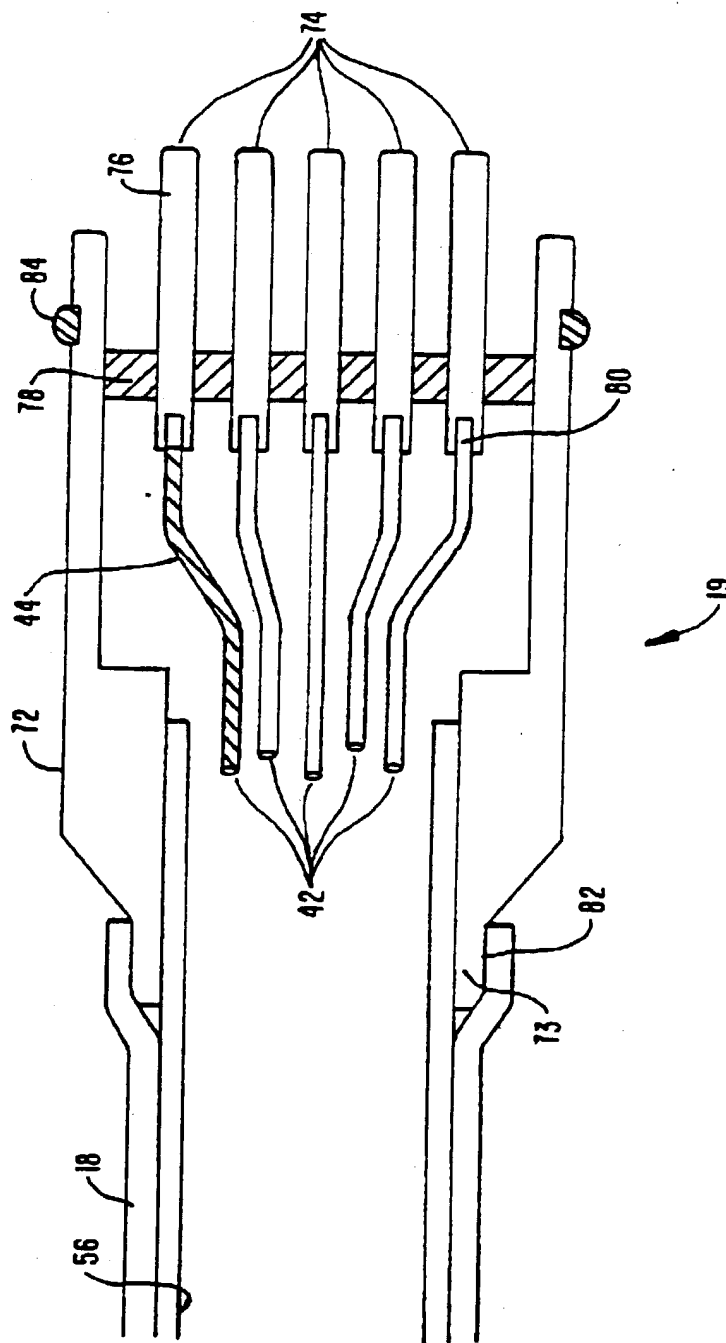
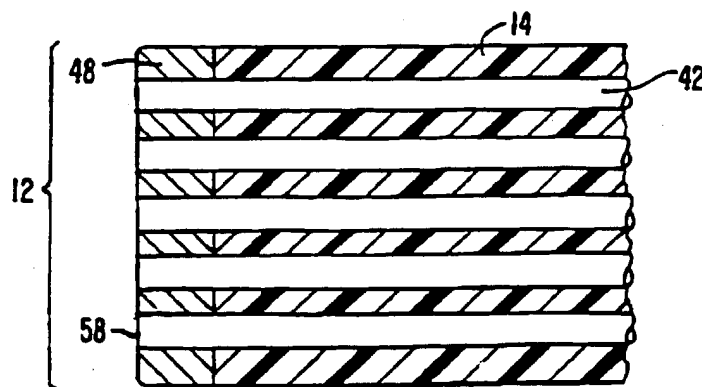
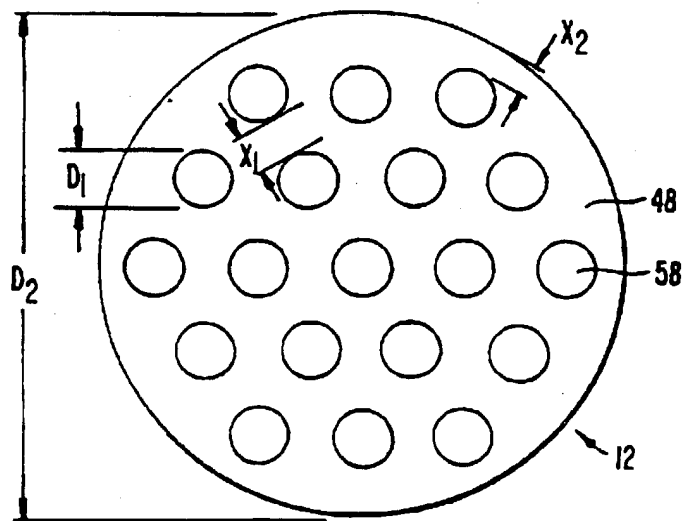
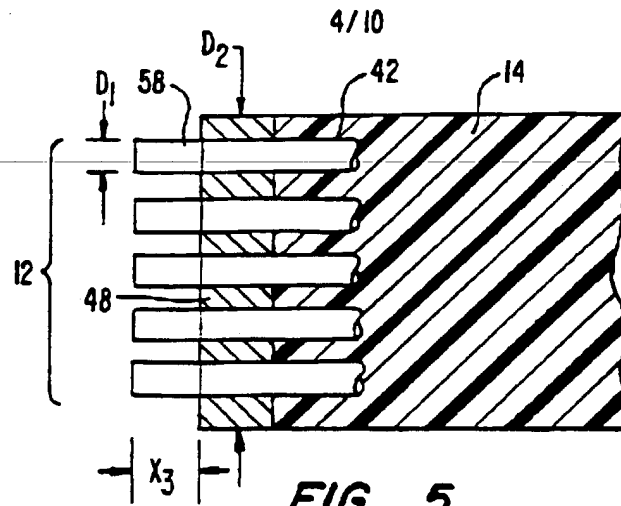


FIG. 4.

SUBSTITUTE SHEET (RULE 26)

WO 94/26228

PCT/US94/05168



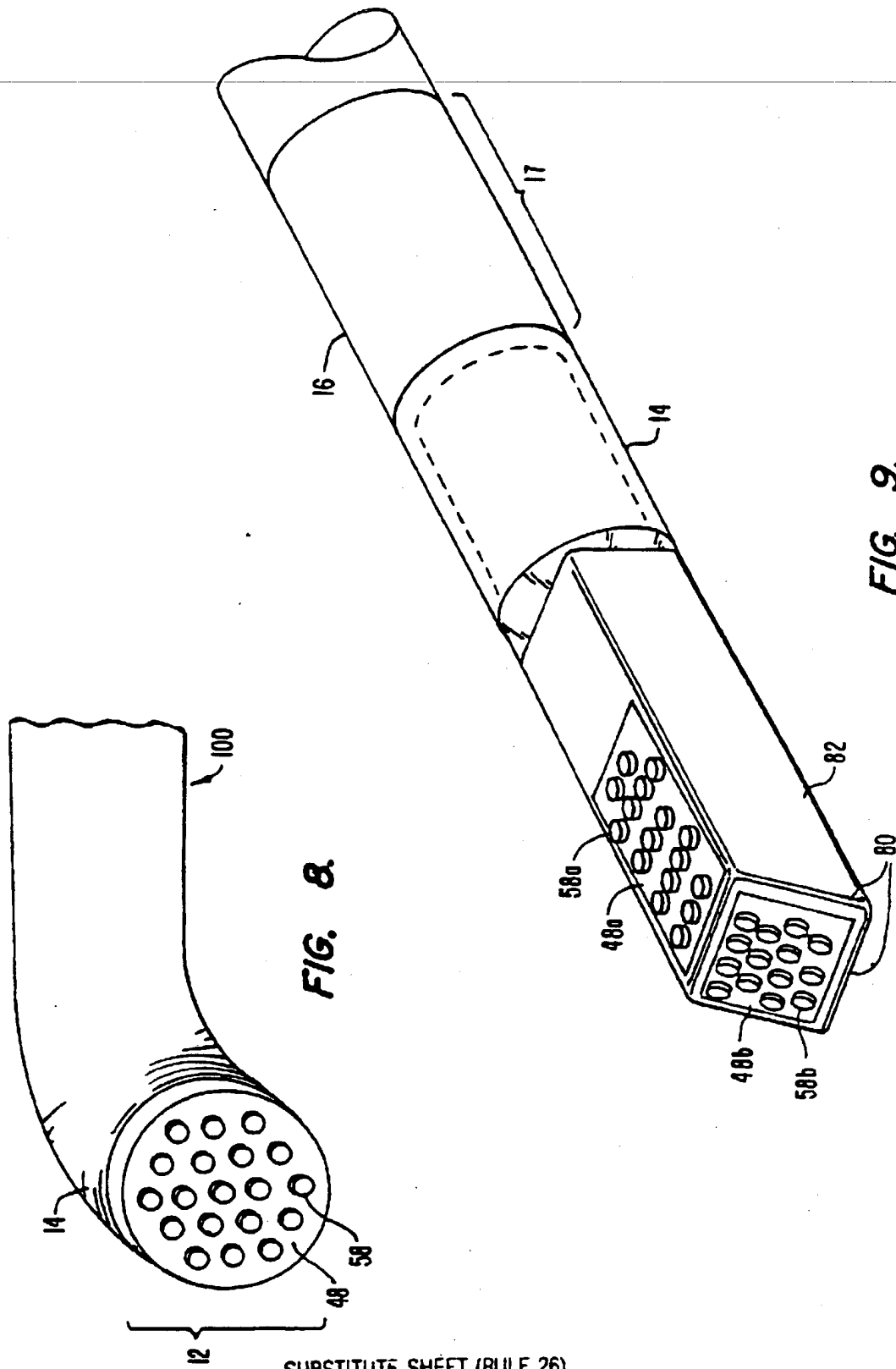
SUBSTITUTE SHEET (RULE 26)



WO 94/26228

PCT/US94/05168

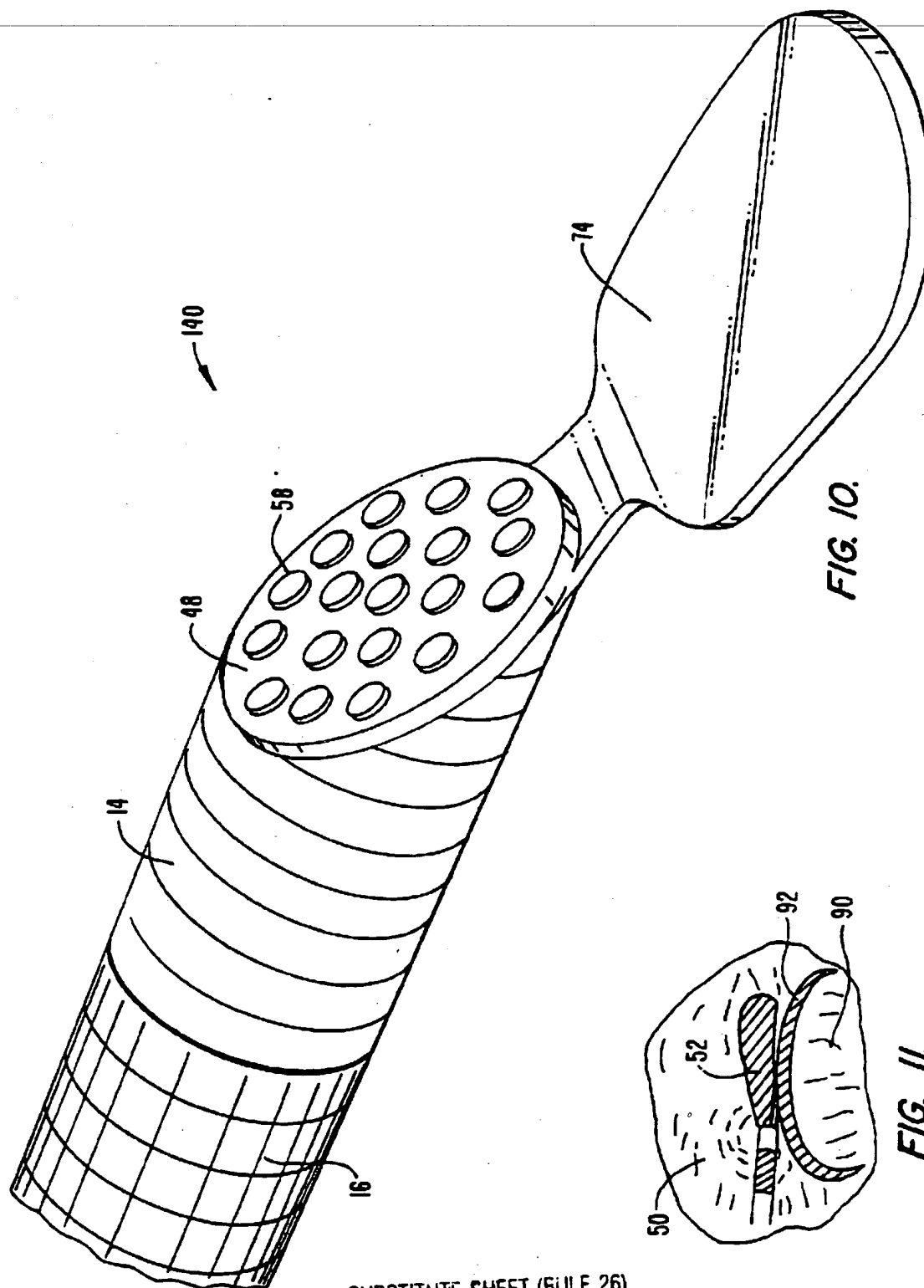
5/10



WO 94/26228

PCT/US94/05168

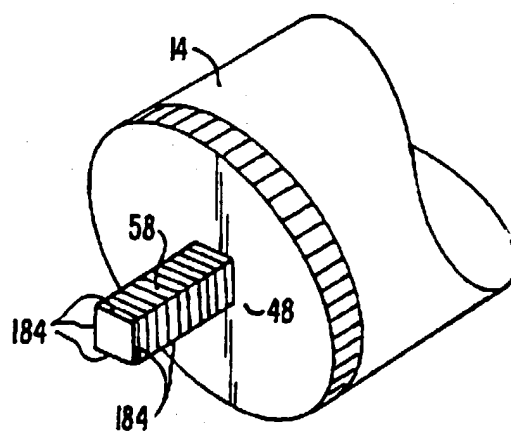
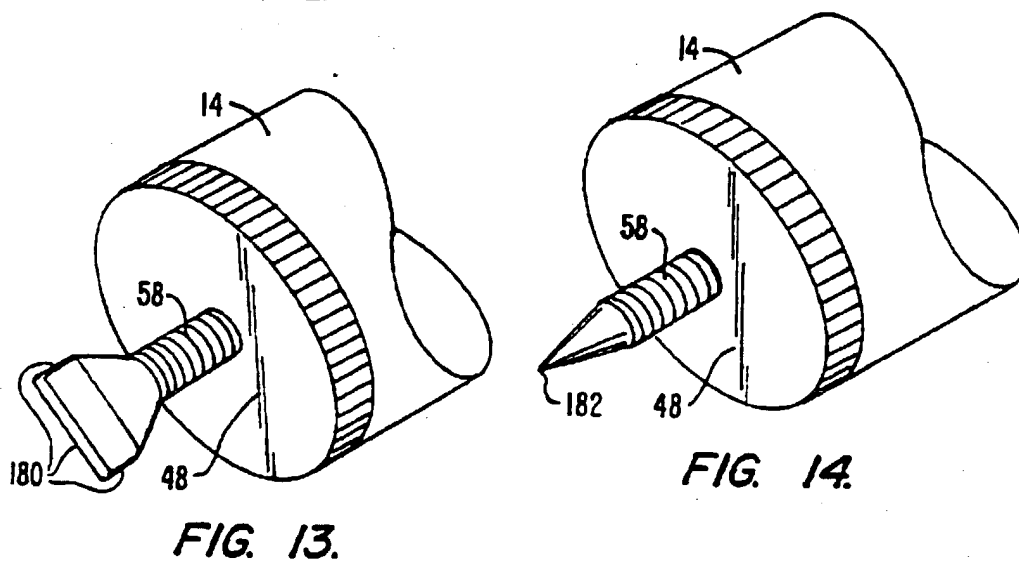
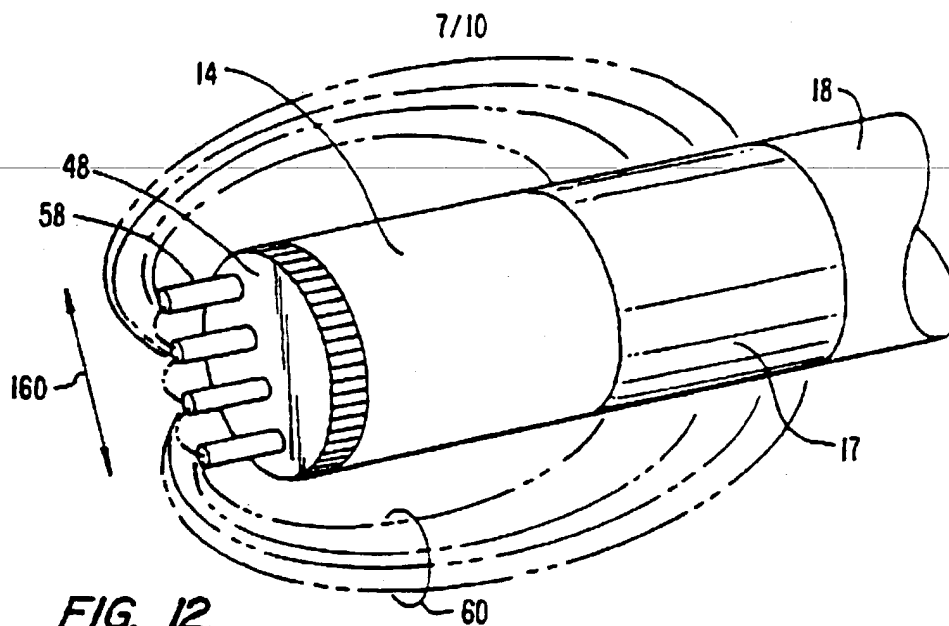
6/10



SUBSTITUTE SHEET (RULE 26)

WO 94/26228

PCT/US94/05168



SUBSTITUTE SHEET (RULE 26)

WO 94/26228

PCT/US94/05168

8/10

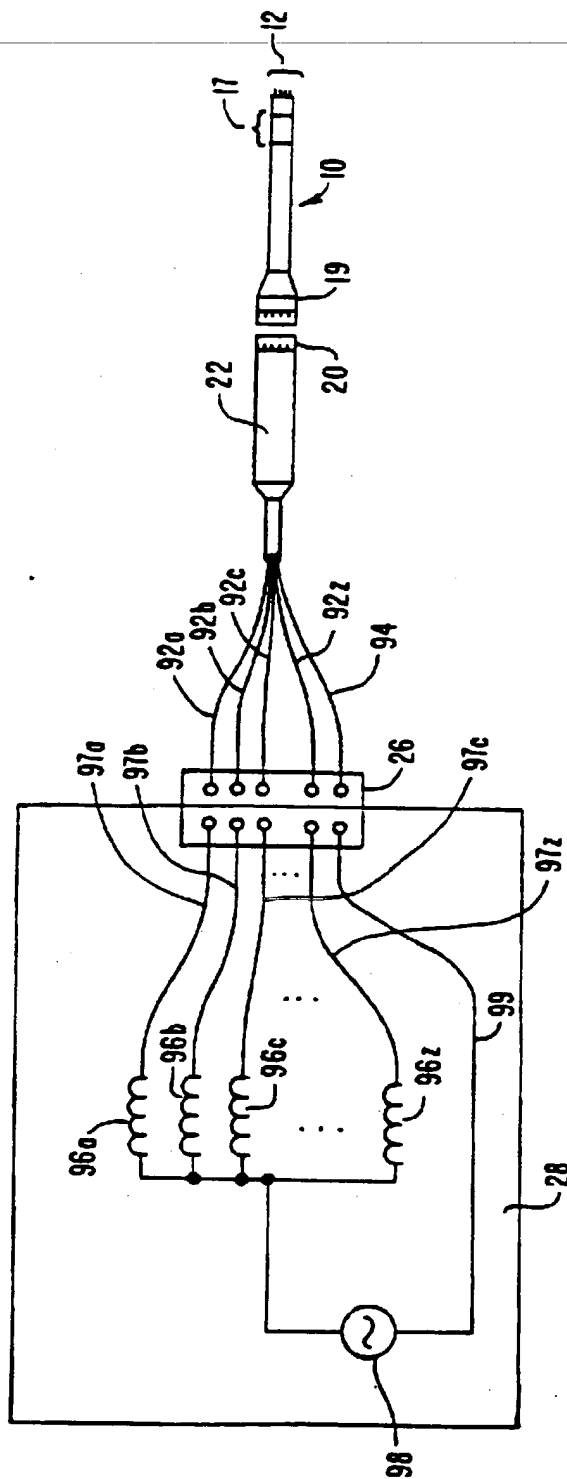


FIG. 16.

WO 94/26228

PCT/US94/05168

9/10

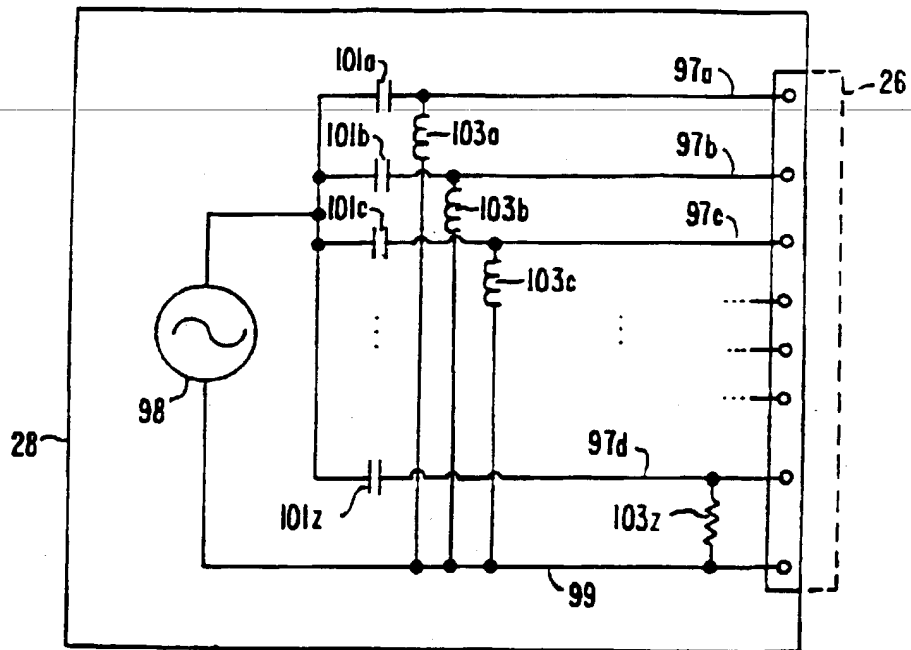


FIG. 16A.

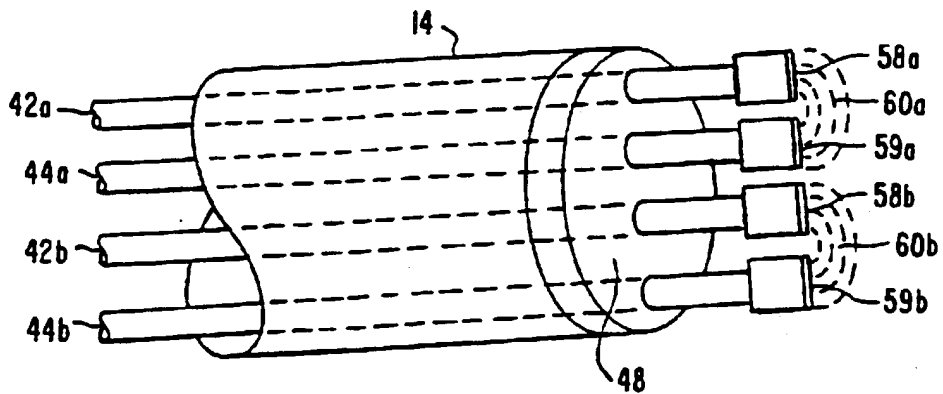


FIG. 17.

SUBSTITUTE SHEET (RULE 26)

WO 94/26228

PCT/US94/05168

10/10

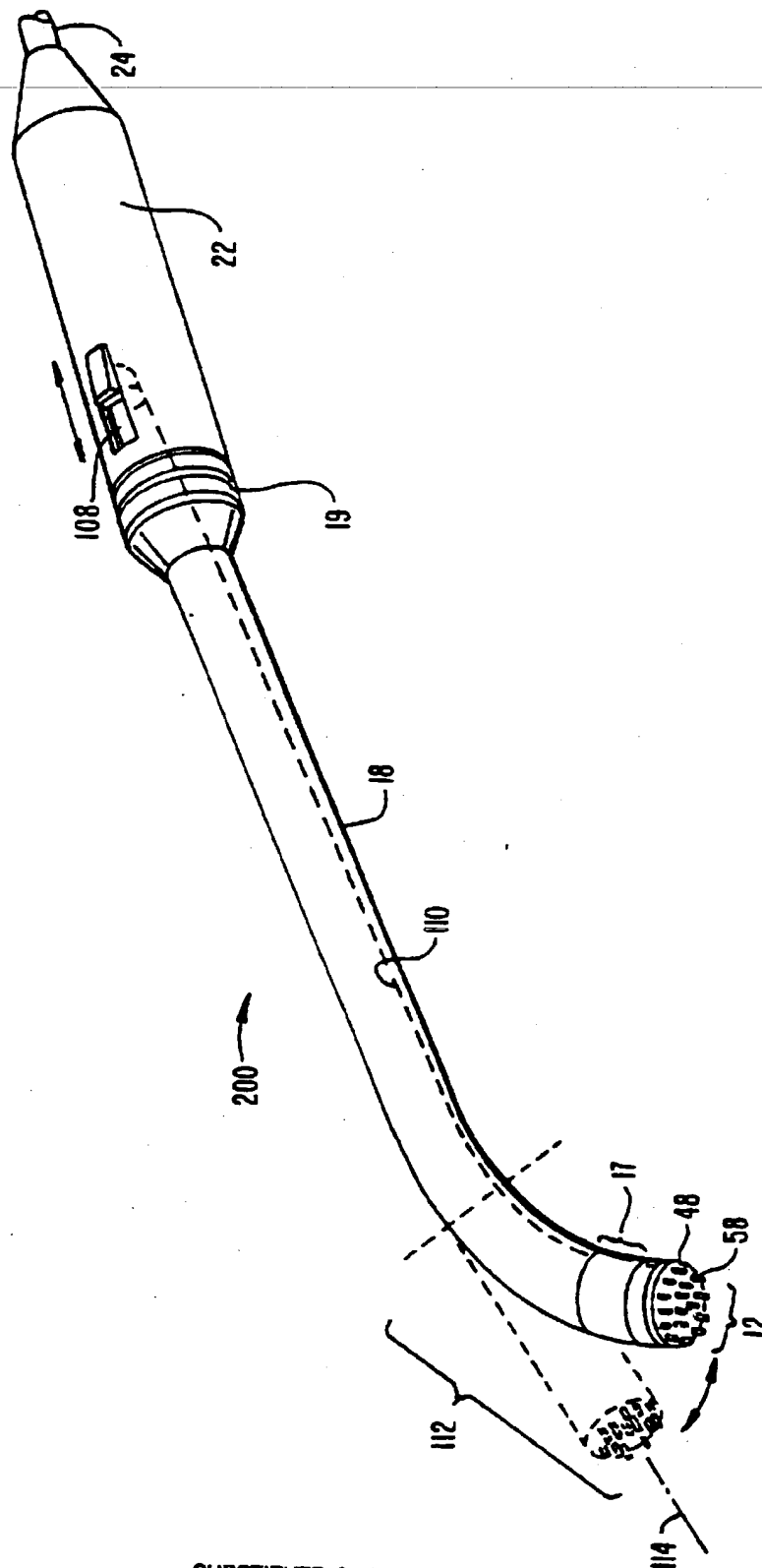


FIG. 18.

## INTERNATIONAL SEARCH REPORT

International application No.

PCT/US94/05168

## A. CLASSIFICATION OF SUBJECT MATTER

IPC(S) : A61G 17/36; A61F 7/12

US CL : 604/114

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 128/341-344; 604/20, 96-103, 113; 606/28, 31, 41, 194

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched  
NONEElectronic data base consulted during the international search (name of data base and, where practicable, search terms used)  
NONE

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	US, A, 4,709,698, (JOHNSTON ET AL.), 01 December 1987. See entire document.	1-51
Y	US, A, 2,050,904, (S. T. TRICE), 11 August 1936. See entire document.	1-51
Y	US, A, 4,955,377, (LENNOX ET AL.), 11 September 1990. See entire document.	1-51
Y	US, A, 4,532,924, (AUTH ET AL.), 06 August 1985. See entire document.	1-51



Further documents are listed in the continuation of Box C.



See patent family annex.

Special categories of cited documents:	
*A* document defining the general state of the art which is not considered to be part of particular relevance	*T* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
*E* earlier document published on or after the international filing date	*X* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
*L* document which may throw doubt on priority claim(s) or which is cited to establish the publication date of another claim or other special reason (as specified)	*Y* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
*O* document referring to an oral disclosure, use, exhibition or other means	
*P* document published prior to the international filing date but later than the priority date claimed	*Z* document member of the same patent family

Date of the actual completion of the international search

24 JUNE 1994

Date of mailing of the international search report

18 OCT 1994

Name and mailing address of the ISA/US  
Commissioner of Patents and Trademarks  
Box PCT  
Washington, D.C. 20231

Authorized officer

FAX

MANUEL MENDEZ

Facsimile No. (703) 305-3230

Telephone No. (703) 305-2221

Form PCT/ISA/210 (second sheet)(July 1992)\*